

PATENT  
Docket No. 509622000800

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January 22, 2002.

  
N. DeRiggi

3C983-8-8 PTO  
10/051334  
01/22/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Terry M. TURPIN et al.

Serial No.: NEW

Filing Date: January 22, 2002

For: WAVELENGTH DIVISION  
MULTIPLEXING ADD-DROP  
MULTIPLEXER USING AN OPTICAL  
TAPPED DELAY LINE

Examiner: not assigned

Group Art Unit: not assigned

#4  
D. Scott  
6-27-02

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Box DD  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the application; accordingly, no fee or separate requirements are needed.

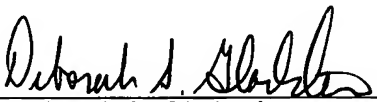
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing attorney docket number 509622000800.

Dated: January 22, 2002

Respectfully submitted,

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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 509622000800	Application Number NEW
	Applicant Terry M. TURPIN et al.	
	Filing Date January 22, 2002	Group Art Unit not assigned
	Mailing Date January 22, 2002	

JC903 U.S. PTO  
 10/051334  
 01/22/02

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/1995	5,457,758	Snitzer			
	2.	1/1998	5,712,717	Hamel et al.			
	3.	5/1998	5,751,456	Koonen			
	4.	9/1999	5,960,133	Tomlinson			
	5.	9/2001	6,285,478	Liu et al.			
	6.	9/2001	6,288,810	Grasso et al.			
	7.	9/2001	6,289,148	Lin et al.			
	8.	1/2001	6,169,616	Cao			
	9.	9/2001	6,292,299	Liou			
	10.	10/2001	6,310,994	Jones et al.			
	11.	10/18/01	2001/0030786 A1	Takahashi et al.			
	12.	11/8/01	2001/0038734 A1	Kim et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	13.	6/28/01	WO 01/46731 A2	PCT			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	3.	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.